

April 29, 2020

**The Secretary
National Stock Exchange of India Limited
Capital Market – Listing, Exchange Plaza,
5th Floor, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai 400 051**

**The Secretary
BSE Ltd.
25th Floor, Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai 400 001**

NSE SCRIP CODE: CHOLAFIN EQ

BSE SCRIP CODE: 511243

Dear Sir,

Sub: Filing of Compliance Certificate under Regulation 40 (9 & 10) of the Listing Regulation, 2015 for the half year ended 31st March 2020.

We enclose a certificate from the Practicing Company Secretary under Regulation 40 (9 & 10) of the Listing Regulation, 2015 for the period from 1st October 2019 to 31st March 2020.

We request you to take the above on record and acknowledge receipt.

Thanking you,

Yours faithfully,
For Cholamandalam Investment and Finance Company Limited

P Sujatha
Company Secretary

Encl.: As above

29th April, 2020

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from **01stOctober, 2019 to 31st March, 2020**

ISIN:INE121A01024

SYMBOL: CHOLAFIN

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 03rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities could be carried out in dematerialized form only w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deed(s) lodged prior to the above deadline and returned due to deficiency in the document(s) may be re-logged for transfer even after the stipulated deadline of 01st April, 2019.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **Cholamandalam Investment and Finance Company Limited** having its Registered Office at Dare House No.2, N.S.C Bose Road, Chennai 600 001 maintained by its Registrar and Share Transfer Agent viz., **KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited)** having its office at Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032, pertaining to transfer of equity shares of the Company for the period from **01st October, 2019 to 31st March, 2020** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Uniform Listing Agreement entered into by **Cholamandalam Investment and Finance Company Limited** with the **National Stock Exchange of India Limited** and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the Company has in relation to the half year ended **31st March, 2020** has not received any request(s) for Transfer, Split and Consolidation of share certificate(s).

During the aforementioned period, **Cholamandalam Investment and Finance Company Limited** has received requests for transmission, Deletion of name and issue of duplicate share certificates and the same has been delivered within the stipulated time period as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES**

SRIDHARA
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Digitally signed by
SRIDHARAN
Date: 2020.04.29
11:55:42 +05'30'

CS R.SRIDHARAN

C.P.No.3239

FCS No.4775

UIN: S2003TN063400

UDIN:F004775B000185881

(This certificate is digitally signed)

29th April, 2020

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

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ISIN:INE121A01024

SECURITY CODE: 511243

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**For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES**

SRIDHARA Digitally signed by
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